

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DP-304989

In Re Application: **Malcolm James Grieve, et al.**

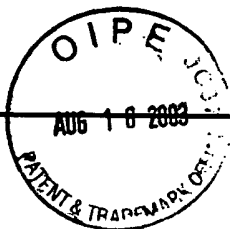
Serial No.
09/996,622

Filing Date
Novemeber 29, 2001

Examiner

Group Art Unit
3753

REFORMER SYSTEM PROCESS



RECEIVED
AUG 22 2003
TC 1700

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below.
- ☐ Charge the amount of _____
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

RECEIVED
AUG 20 2003
TECHNOLOGY CENTER R3700

Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F:

(Date)

Signature

Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on August 14, 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Lindsay A. Nelson
Signature of Person Mailing Correspondence

Lindsay A. Nelson

Typed or Printed Name of Person Mailing Certificate

*This certificate may only be used if paying by deposit account.

Pamela J. Curbelo
Signature

Dated: **August 14, 2003**

Pamela J. Curbelo
Registration No. 34,676
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
Phone: 860-286-2929

CC:

3753

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
DP-304989

In Re Application Of: **Malcolm James Grieve et al**

RECEIVED

5

AUG 22 2003

Serial No.
09/996,622

Filing Date
November 29, 2001

TC 1700

Examiner

Group Art Unit
3753

Title: **REFORMER SYSTEM PROCESS**



Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

RECEIVED

AUG 20 2003

TECHNOLOGY CENTER R3700

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
DP-304989

In Re Application Of: **Malcolm James Grieve, et al.**

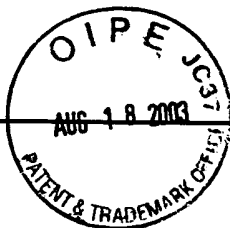
Serial No.
09/996,622

Filing Date
November 29, 2001

Examiner

Group Art Unit
3753

Invention: **REFORMER SYSTEM PROCESS**



RECEIVED
AUG 22 2003
TC 1700

RECEIVED

AUG 20 2003
TECHNOLOGY CENTER R3700

TO THE COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.


Signature

Dated: **August 14, 2003**

Pamela J. Curbelo
Registration No. 34,676
Cantor Colburn LLP
55 Griffin Road South
Bloomfield, CT 06002
Phone: 860-286-2929

Certificate of Mailing by First Class Mail

I certify that this document is being deposited on
August 14, 2003 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Commissioner for Patents, P.O. Box 1450, Alexandria, VA
22313-1450.


Signature of Person Mailing Correspondence

Lindsay A. Nelson

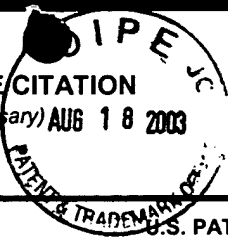
Typed or Printed Name of Person Mailing Correspondence

cc:

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

AUG 18 2003



ATTY DOCKET NO.

DP-304989

SERIAL NO.

09/996,622

Malcolm James Grieve, et al.

FILING

November 29, 2001

GROUP

3753

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,214,066 B1	4-10-01	Nataraj et al.			

RECEIVED
AUG 22 2003
TC 1700

RECEIVED
AUG 20 2003
TECHNOLOGY CENTER R3700

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.